



# RNNs in TensorFlow

CS 20: TensorFlow for Deep Learning Research

Lecture 11

2/21/2017



# Boston Dynamics



# Human vs SpotMini



# The original SpotMini



# Agenda

From feed-forward to recurrent

Tricks & treats

Presidential tweets





# Introduction to RNNs

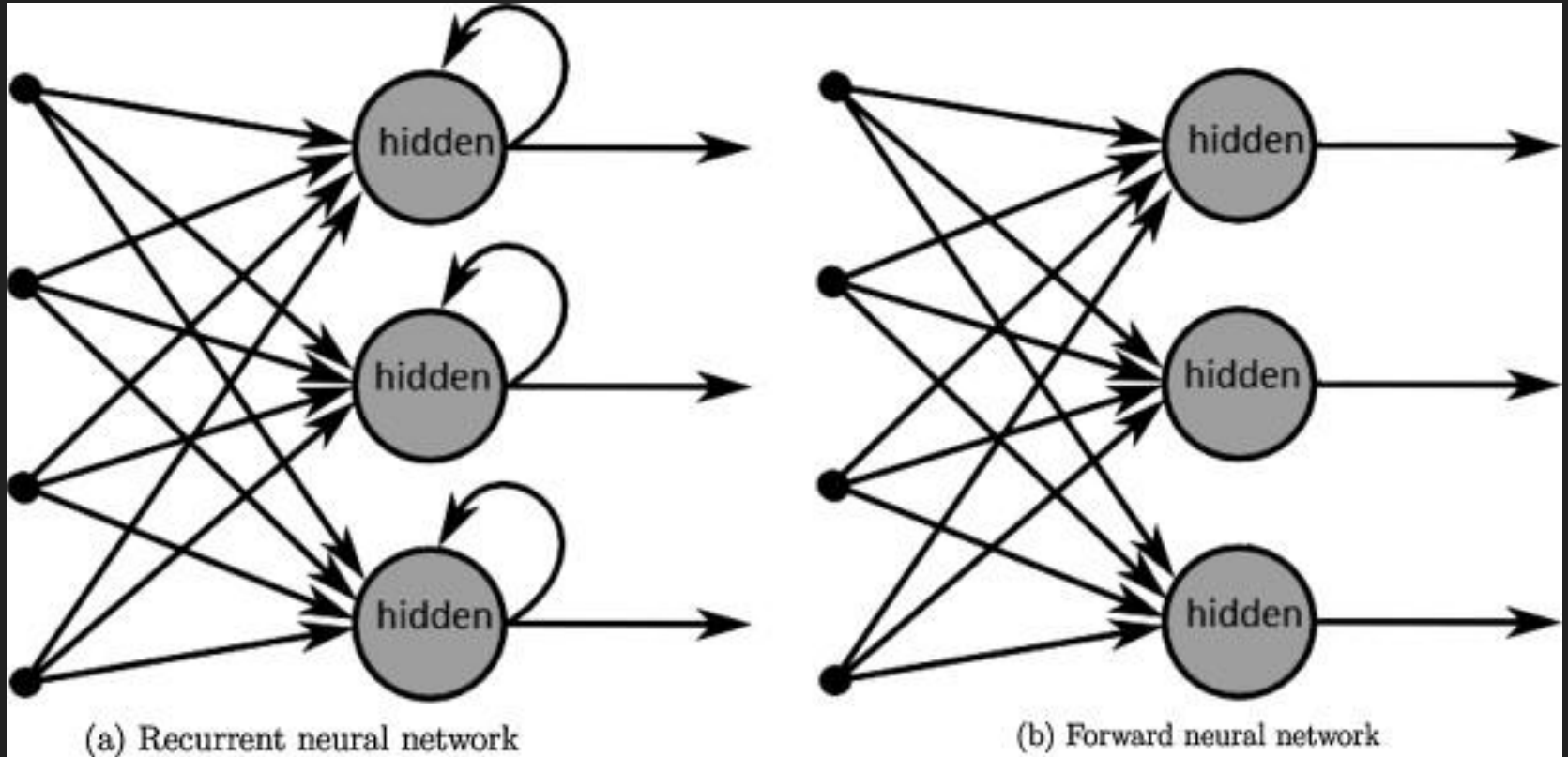
Humans aren't built to just do linear or logistic regression, or recognize individual objects





Super Indy Flip by Kasukabe Vision FILMz ✓ (flickr)

# From feed-forward to RNNs



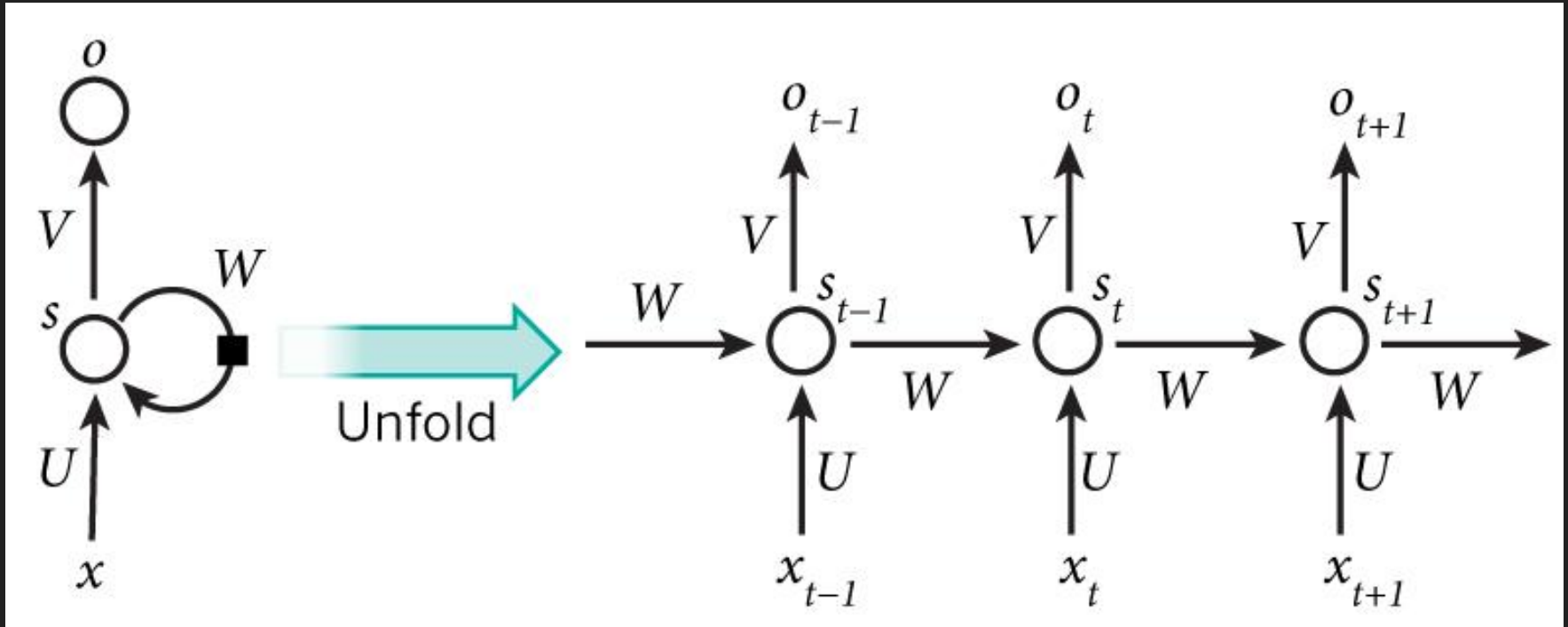
# From feed-forward to RNNs

Feed-forward	RNNs
computational unit (neuron)	computational unit (neuron)
DAG	Loops
Signals are passed in one direction (input to output)	Signals are sent back to the same neuron
Each layer has their own variables	All steps share the same variables

# Why RNNs

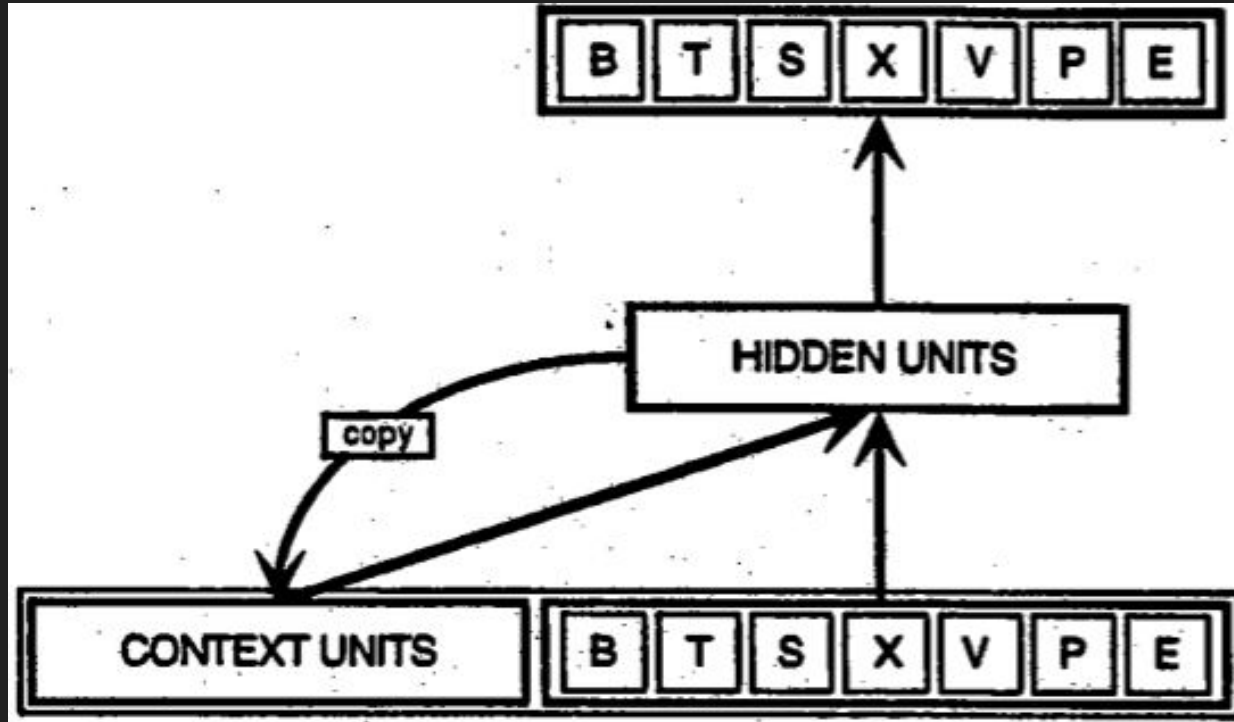
- Take advantage of sequential information of data (texts, genomes, videos, etc.)
- Generally reduce the total number of parameters
- Form the backbone of NLP

# RNNs unfolded



# Simple Recurrent Neural Network (SRN)

Introduced by Jeffrey Elman in 1990. Also known as Elman Network



# Simple RNNs are Simple

Elman and Jordan networks are also known as "simple recurrent networks" (SRN).

## Elman network<sup>[10]</sup>

$$h_t = \sigma_h(W_h x_t + U_h h_{t-1} + b_h)$$

$$y_t = \sigma_y(W_y h_t + b_y)$$

## Jordan network<sup>[11]</sup>

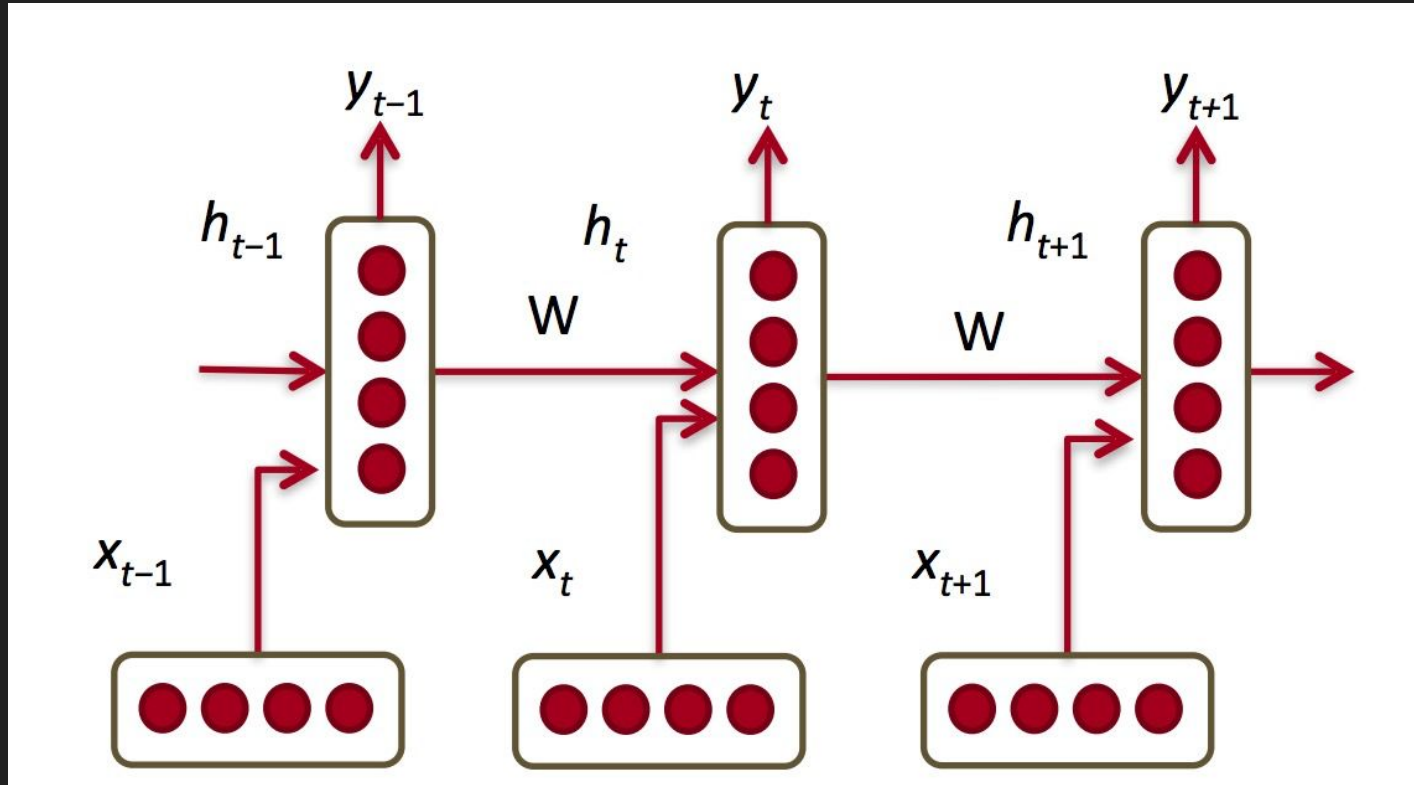
$$h_t = \sigma_h(W_h x_t + U_h y_{t-1} + b_h)$$

$$y_t = \sigma_y(W_y h_t + b_y)$$

Variables and functions

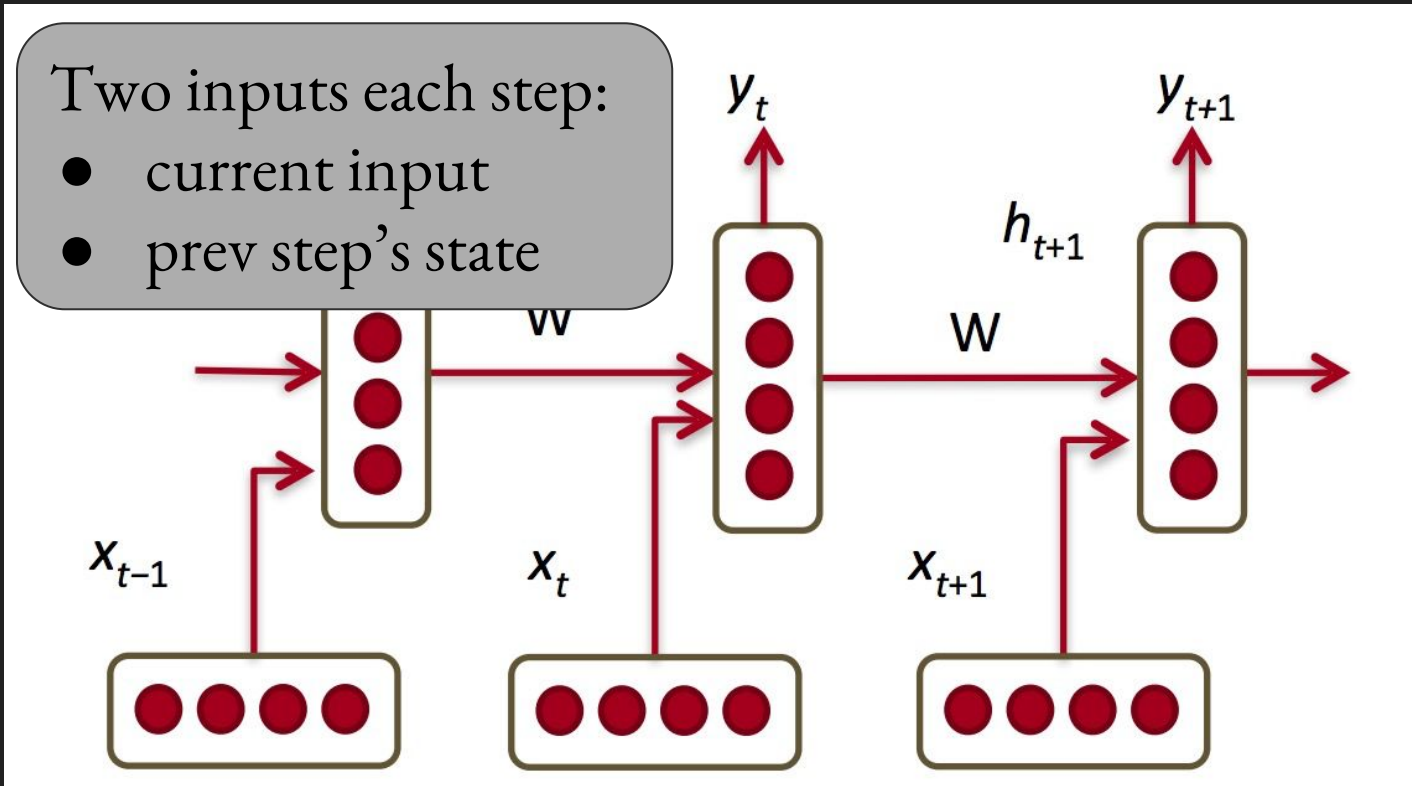
- $x_t$ : input vector
- $h_t$ : hidden layer vector
- $y_t$ : output vector
- $W$ ,  $U$  and  $b$ : parameter matrices and vector
- $\sigma_h$  and  $\sigma_y$ : **Activation functions**

# RNNs in the context of NLP





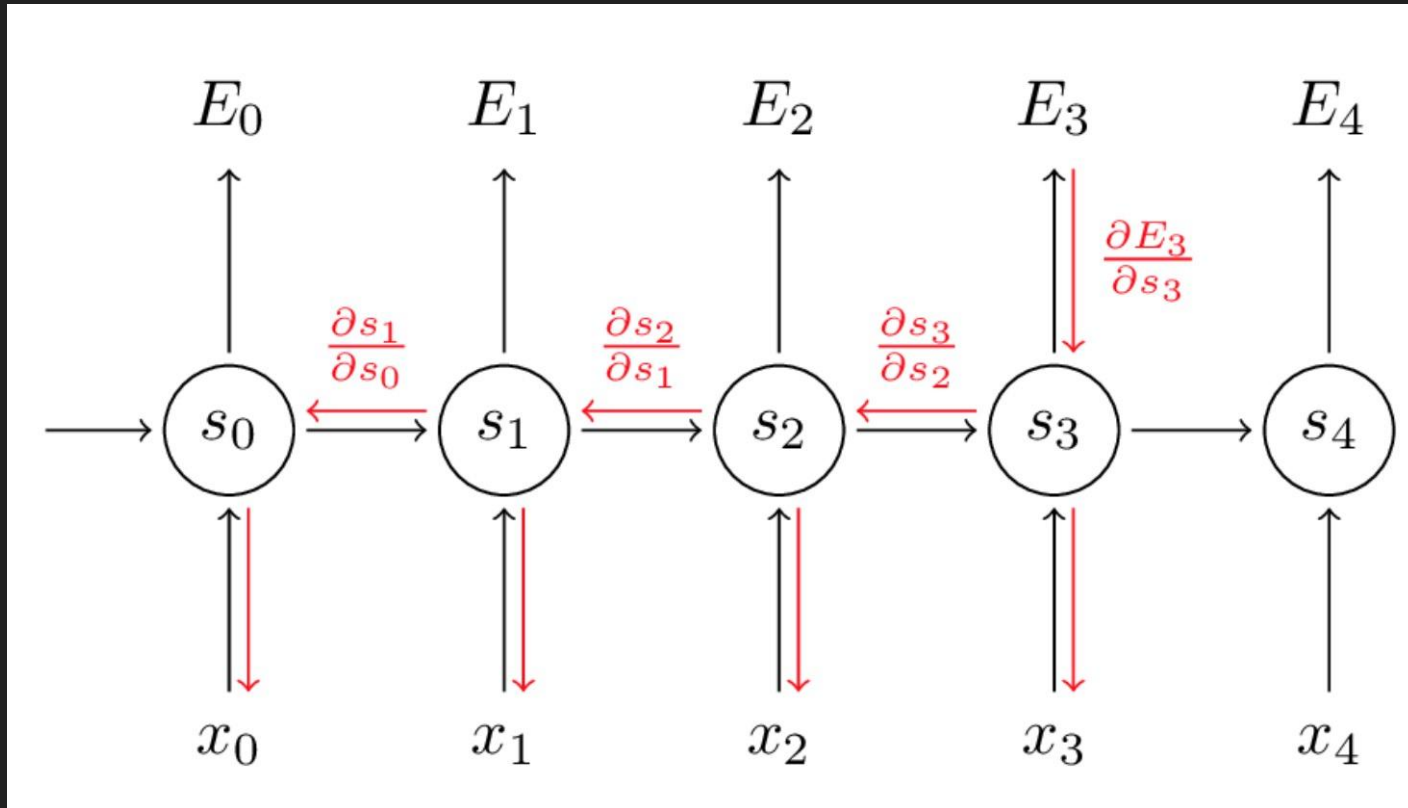
# RNNs in the context of NLP





# Back-propagation through time

# Back-propagation Through Time (BPTT)



# BPTT

- Use sum of gradients each all timesteps to update parameters
- Computationally expensive for a lot of timesteps

# Truncated BPTT

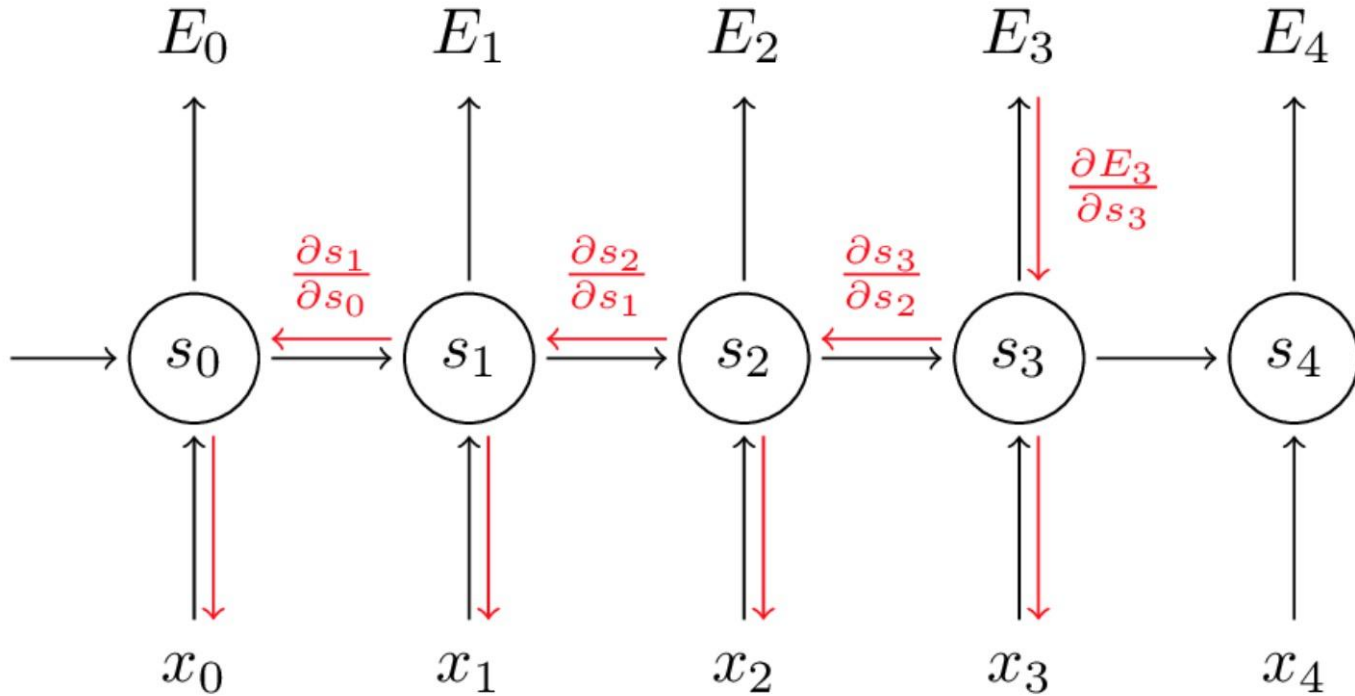
A fixed number of timesteps

# Truncated BPTT

A fixed number of timesteps

- Won't be able to capture the full sequential dependencies
- In non-eager TensorFlow, have to make sure all inputs are of the same length

# Exploding/vanishing Gradients



# The problem with RNNs

- In practice, RNNs aren't very good at capturing long-term dependencies

“I grew up in France... I speak fluent ???”

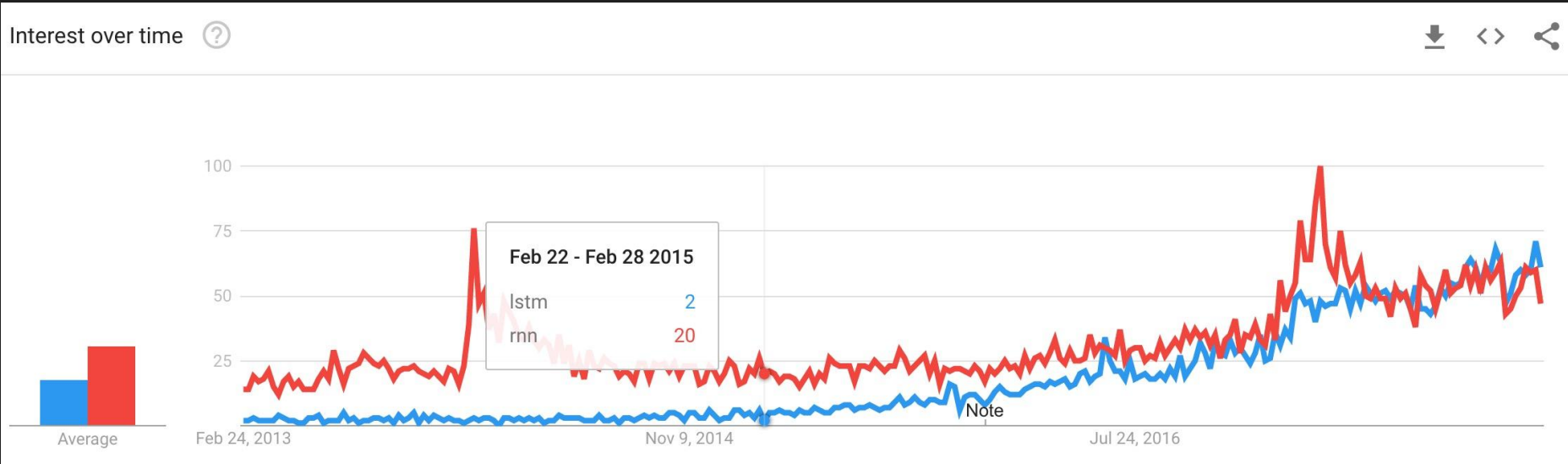
-> Needs information from way back





# Gated Recurrent Units

# The rise of LSTMs



# The rise of LSTMs

- Using a gating mechanism to control what information to enter and emit from the cell at each timestep

# The rise of LSTMs

- input gate: how much of the current input to let through.
- forget gate: how much of the previous state to take into account.
- output gate: how much of the hidden state to expose to the next step.
- candidate gate
- final memory cell

# The rise of LSTMs

$$i^{(t)} = \sigma(W^{(i)}x^{(t)} + U^{(i)}h^{(t-1)}) \quad \text{(Input gate)}$$

$$f^{(t)} = \sigma(W^{(f)}x^{(t)} + U^{(f)}h^{(t-1)}) \quad \text{(Forget gate)}$$

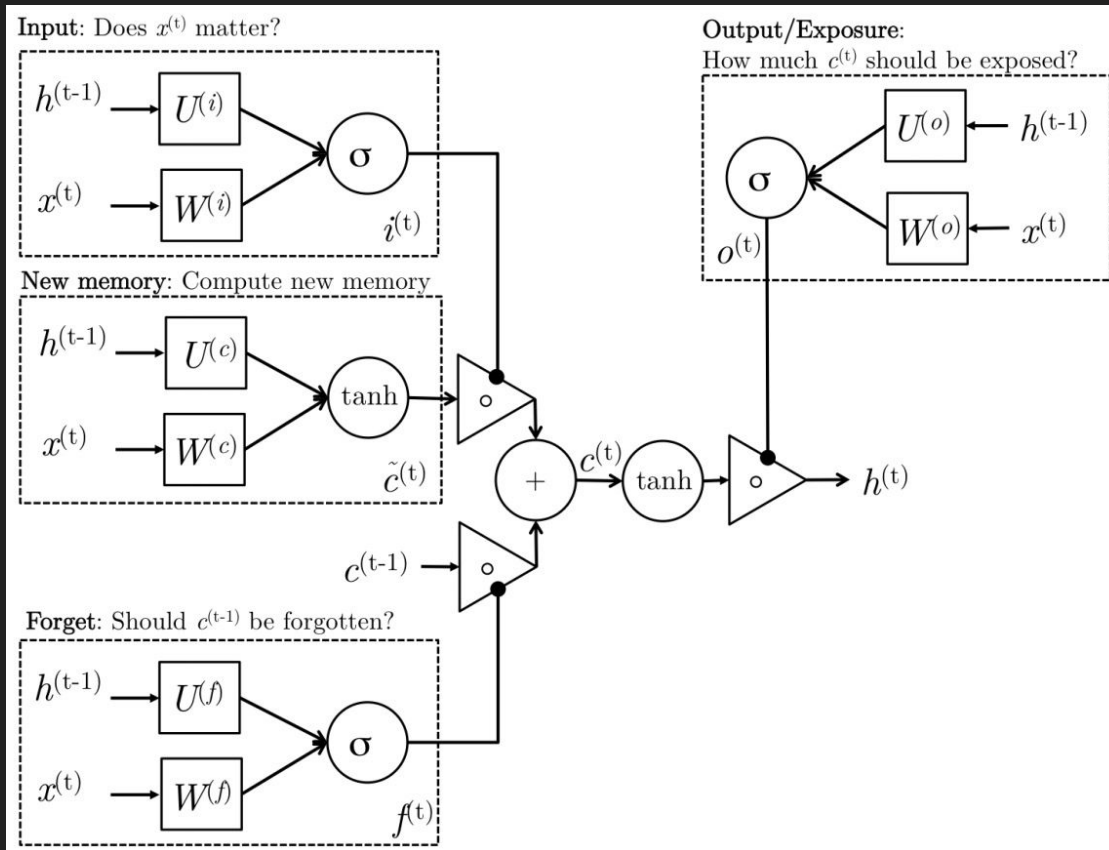
$$o^{(t)} = \sigma(W^{(o)}x^{(t)} + U^{(o)}h^{(t-1)}) \quad \text{(Output/Exposure gate)}$$

$$\tilde{c}^{(t)} = \tanh(W^{(c)}x^{(t)} + U^{(c)}h^{(t-1)}) \quad \text{(New memory cell)}$$

$$c^{(t)} = f^{(t)} \circ \tilde{c}^{(t-1)} + i^{(t)} \circ \tilde{c}^{(t)} \quad \text{(Final memory cell)}$$

$$h^{(t)} = o^{(t)} \circ \tanh(c^{(t)})$$

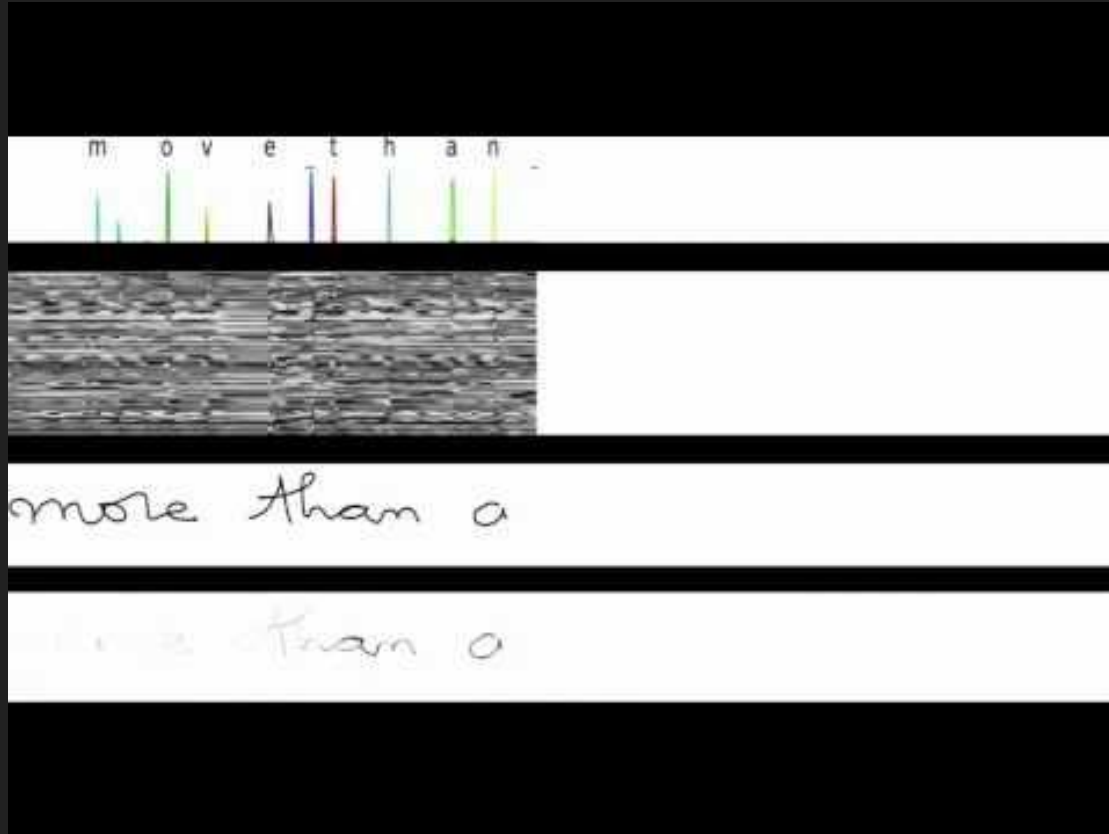
# The rise of LSTMs



# The rise of LSTMs

- Closer to how humans process information
- The idea is not new. Hochreiter and Schmidhuber published the paper in 1997\*

# The rise of LSTMs



Visualization of LSTM in action by Alex Graves (DeepMind)



# LSTMs vs GRUs

People find LSTMs work well, but unnecessarily complicated, so they introduced GRUs

# GRUs (Gated Recurrent Units)

*Two most widely used gated recurrent units*

## Gated Recurrent Unit

[Cho et al., EMNLP2014;  
Chung, Gulcehre, Cho, Bengio, DLUFL2014]

$$h_t = u_t \odot \tilde{h}_t + (1 - u_t) \odot h_{t-1}$$

$$\tilde{h} = \tanh(W [x_t] + U(r_t \odot h_{t-1}) + b)$$

$$u_t = \sigma(W_u [x_t] + U_u h_{t-1} + b_u)$$

$$r_t = \sigma(W_r [x_t] + U_r h_{t-1} + b_r)$$

## Long Short-Term Memory

[Hochreiter & Schmidhuber, NC1999;  
Gers, Thesis2001]

$$h_t = o_t \odot \tanh(c_t)$$

$$c_t = f_t \odot c_{t-1} + i_t \odot \tilde{c}_t$$

$$\tilde{c}_t = \tanh(W_c [x_t] + U_c h_{t-1} + b_c)$$

$$o_t = \sigma(W_o [x_t] + U_o h_{t-1} + b_o)$$

$$i_t = \sigma(W_i [x_t] + U_i h_{t-1} + b_i)$$

$$f_t = \sigma(W_f [x_t] + U_f h_{t-1} + b_f)$$

# GRUs (Gated Recurrent Units)

- Computationally less expensive
- Performance on par with LSTMs\*



What can RNNs do?

# Language Modeling

- Allows us to measure how likely a sentence is
- Important input for Machine Translation (since high-probability sentences are typically correct)
- Can generate new text

# Character-level Language Modeling

PANDARUS:

Alas, I think he shall be come approached and the day  
When little strain would be attain'd into being never fed,  
And who is but a chain and subjects of his death,  
I should not sleep.

Second Senator:

They are away this miseries, produced upon my soul,  
Breaking and strongly should be buried, when I perish  
The earth and thoughts of many states.

DUKE VINCENTIO:

Well, your wit is in the care of side and that.

Second Lord:

They would be ruled after this chamber, and  
my fair nues begun out of the fact, to be conveyed,  
Whose noble souls I'll have the heart of the wars.

Clown:

Come, sir, I will make did behold your worship.

Shakespeare Generator  
Andrej Karpathy's [blog](#)

# Character-level Language Modeling

```
/*
 * Increment the size file of the new incorrect UI_FILTER group information
 * of the size generatively.
 */
static int indicate_policy(void)
{
    int error;
    if (fd == MARN_EPT) {
        /*
         * The kernel blank will coeld it to userspace.
         */
        if (ss->segment < mem_total)
            unblock_graph_and_set_blocked();
        else
            ret = 1;
        goto bail;
    }
    segaddr = in_SB(in.addr);
    selector = seg / 16;
    setup_works = true;
    for (i = 0; i < blocks; i++) {
        seq = buf[i++];
        bpf = bd->bd.next + i * search;
        if (fd) {
            current = blocked;
        }
    }
    rw->name = "Getjbbregs";
    bprm_self_clearl(&iv->version);
    regs->new = blocks[(BPF_STATS << info->historidac) | PFMR_CLOBATHINC_SECONDS << 12];
    return segtable;
}
```

Linux Source Code Generator  
Andrej Karpathy's [blog](#)

# Character-level Language Modeling

For  $\bigoplus_{n=1, \dots, m} \mathcal{L}_{m_n} = 0$ , hence we can find a closed subset  $\mathcal{H}$  in  $\mathcal{H}$  and any sets  $\mathcal{F}$  on  $X$ ,  $U$  is a closed immersion of  $S$ , then  $U \rightarrow T$  is a separated algebraic space.

*Proof.* Proof of (1). It also start we get

$$S = \text{Spec}(R) = U \times_X U \times_X U$$

and the comparicoly in the fibre product covering we have to prove the lemma generated by  $\coprod Z \times_U U \rightarrow V$ . Consider the maps  $M$  along the set of points  $Sch_{fppf}$  and  $U \rightarrow U$  is the fibre category of  $S$  in  $U$  in Section, ?? and the fact that any  $U$  affine, see Morphisms, Lemma ???. Hence we obtain a scheme  $S$  and any open subset  $W \subset U$  in  $Sh(G)$  such that  $\text{Spec}(R') \rightarrow S$  is smooth or an

$$U = \bigcup U_i \times_{S_i} U_i$$

which has a nonzero morphism we may assume that  $f_i$  is of finite presentation over  $S$ . We claim that  $\mathcal{O}_{X,x}$  is a scheme where  $x, x', s'' \in S'$  such that  $\mathcal{O}_{X,x'} \rightarrow \mathcal{O}'_{X',x'}$  is separated. By Algebra, Lemma ??? we can define a map of complexes  $\text{GL}_{S'}(x'/S'')$  and we win.  $\square$

To prove study we see that  $\mathcal{F}|_U$  is a covering of  $\mathcal{X}'$ , and  $\mathcal{T}_i$  is an object of  $\mathcal{F}_{X/S}$  for  $i > 0$  and  $\mathcal{F}_p$  exists and let  $\mathcal{F}_i$  be a presheaf of  $\mathcal{O}_X$ -modules on  $\mathcal{C}$  as a  $\mathcal{F}$ -module. In particular  $\mathcal{F} = U/\mathcal{F}$  we have to show that

$$\widetilde{M}^\bullet = \mathcal{I}^\bullet \otimes_{\text{Spec}(k)} \mathcal{O}_{S,s} - i_X^{-1} \mathcal{F}$$

is a unique morphism of algebraic stacks. Note that

$$\text{Arrows} = (Sch/S)_{fppf}^{opp}, (Sch/S)_{fppf}$$

and

$$V = \Gamma(S, \mathcal{O}) \mapsto (U, \text{Spec}(A))$$

Fake Math Doc Generator  
 Andrej Karpathy's [blog](#)



# Character-level Language Modeling

Deep learning neural network architectures can be used to best developing a new architectures controls of the training and max model parametrinal Networks (RNNs) outperform deep learning algorithm is easy to out unclears and can be used to train samples on the state-of-the-art RNN more effective Lorred can be used to best developing a new architectures controls of the training and max model and state-of-the-art deep learning algorithms to a similar pooling relevants. The space of a parameter to optimized hierarchy the state-of-the-art deep learning algorithms to a simple analytical pooling relevants. The space of algorithm is easy to outions of the network are allowed at training and many dectional representations are allow develop a gropose a network by a simple model interact that training algorithms to be the activities to maximul setting, ...

Fake Arvix Abstracts Generator

**We'll build this!!!!**

# Character-level Language Modeling

I will be interviewed on @foxandfriends tonight at 10:00 P.M. and the #1 to construct the @WhiteHouse tonight at 10:00 P.M. Enjoy \_\_HTTP\_\_

No matter the truth and the world that the Fake News Media will be a great new book #Trump2016 \_\_HTTP\_\_ \_\_HTTP\_\_

Great poll thank you for your support of Monday at 7:30 A.M. on NBC at 7pm #Trump2016 #MakeAmericaGreatAgain #Trump2016 \_\_HTTP\_\_ \_\_HTTP\_\_

The Senate report to our country is a total disaster. The American people who want to start like a total disaster. The American should be the security 5 star with a record contract to the American peop

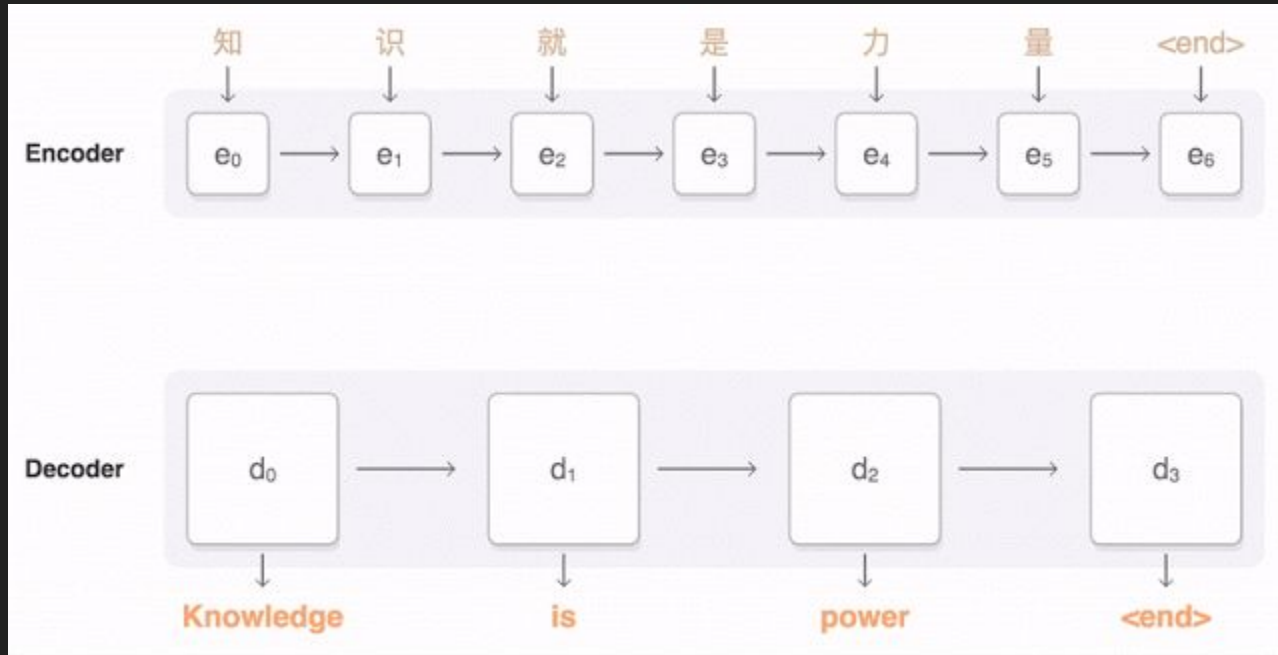
.@BarackObama is a great president of the @ApprenticeNBC

No matter how the U.S. is a complete the ObamaCare website is a disaster.

Presidential tweets

**We'll build this!!!!**

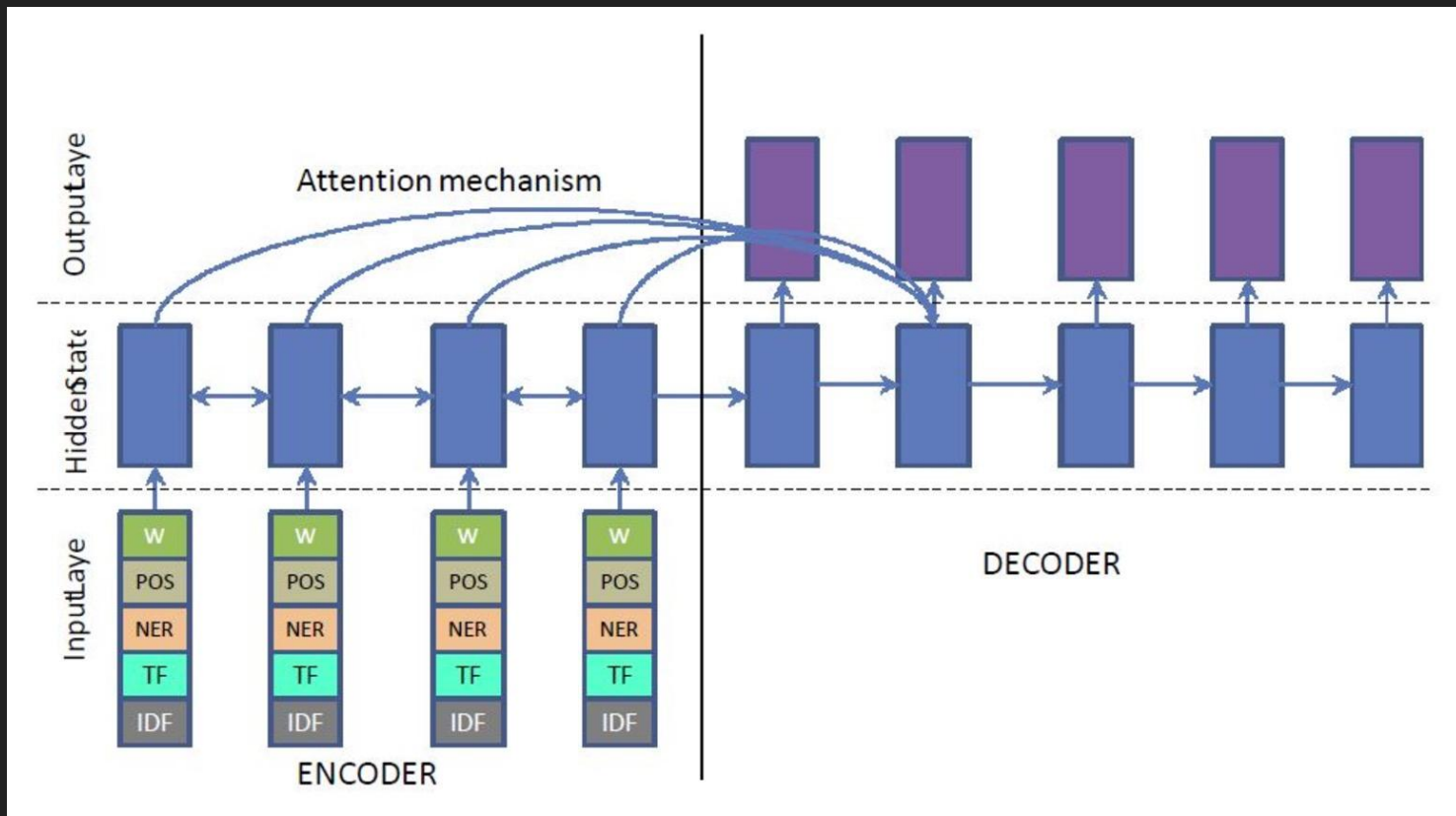
# Machine Translation



# Machine Translation

<b><i>Input sentence:</i></b>	<b><i>Translation (PBMT):</i></b>	<b><i>Translation (GNMT):</i></b>	<b><i>Translation (human):</i></b>
李克強此行將啟動中加總理年度對話機制，與加拿大總理杜魯多舉行兩國總理首次年度對話。	Li Keqiang premier added this line to start the annual dialogue mechanism with the Canadian Prime Minister Trudeau two prime ministers held its first annual session.	Li Keqiang will start the annual dialogue mechanism with Prime Minister Trudeau of Canada and hold the first annual dialogue between the two premiers.	Li Keqiang will initiate the annual dialogue mechanism between premiers of China and Canada during this visit, and hold the first annual dialogue with Premier Trudeau of Canada.

# Text Summarization



# Text Summarization

## Source Document

( @entity0 ) wanted : film director , must be eager to shoot footage of golden lassos and invisible jets . <eos> @entity0 confirms that @entity5 is leaving the upcoming " @entity9 " movie ( the hollywood reporter first broke the story ) . <eos> @entity5 was announced as director of the movie in november . <eos> @entity0 obtained a statement from @entity13 that says , " given creative differences , @entity13 and @entity5 have decided not to move forward with plans to develop and direct ' @entity9 ' together . <eos> " ( @entity0 and @entity13 are both owned by @entity16 . <eos> ) the movie , starring @entity18 in the title role of the @entity21 princess , is still set for release on june 00 , 0000 . <eos> it 's the first theatrical movie centering around the most popular female superhero . <eos> @entity18 will appear beforehand in " @entity25 v. @entity26 : @entity27 , " due out march 00 , 0000 . <eos> in the meantime , @entity13 will need to find someone new for the director 's chair . <eos>

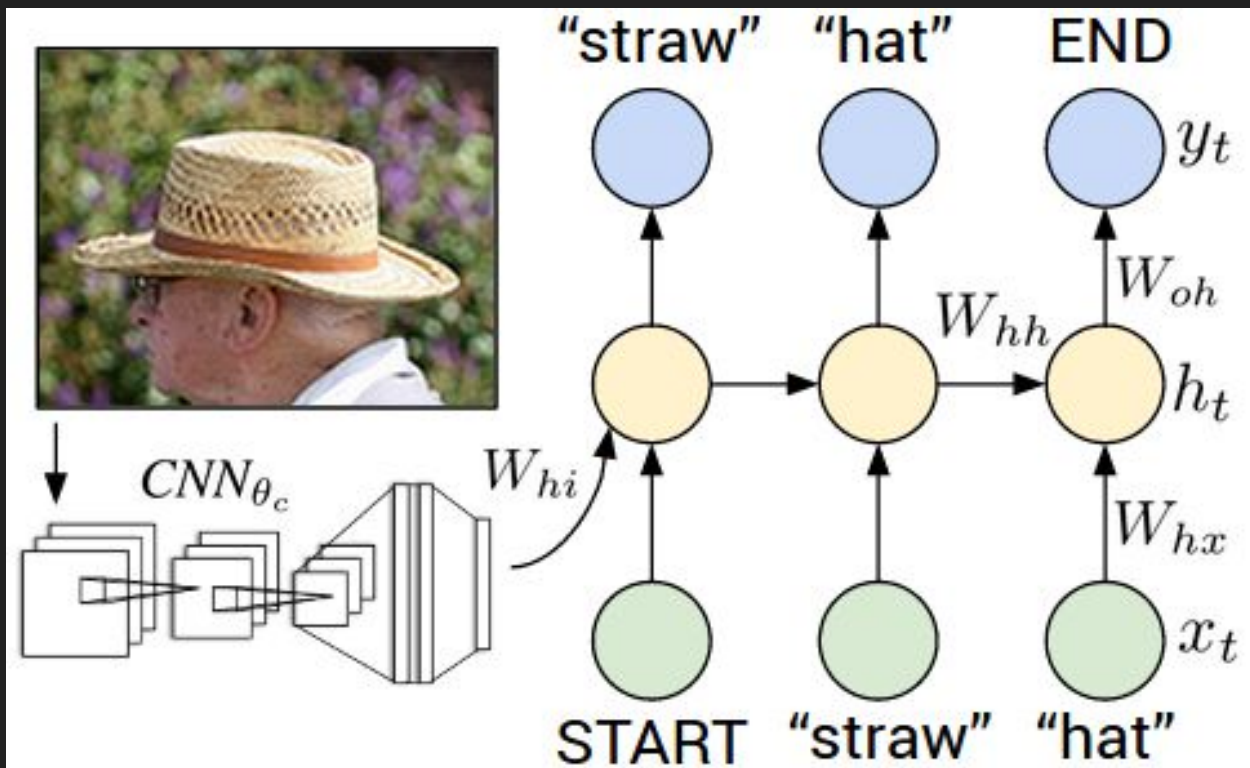
## Ground truth Summary

@entity5 is no longer set to direct the first " @entity9 " theatrical movie <eos> @entity5 left the project over " creative differences " <eos> movie is currently set for 0000

## words-lvt2k

@entity0 confirms that @entity5 is leaving the upcoming " @entity9 " movie <eos> @entity13 and @entity5 have decided not to move forward with plans to develop <eos> @entity0 confirms that @entity5 is leaving the upcoming " @entity9 " movie

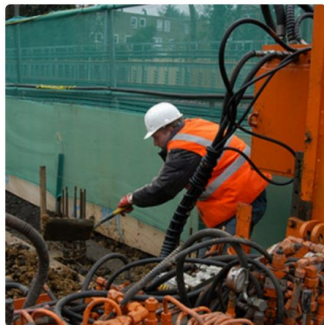
# Image Captioning



# Image Captioning



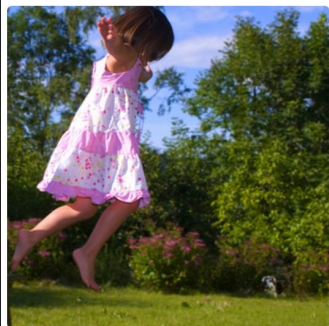
"man in black shirt is playing guitar."



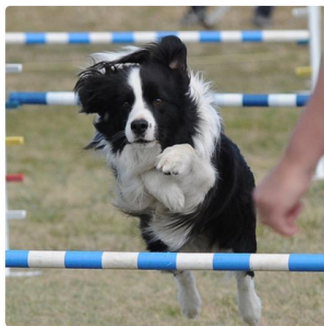
"construction worker in orange safety vest is working on road."



"two young girls are playing with lego toy."



"girl in pink dress is jumping in air."



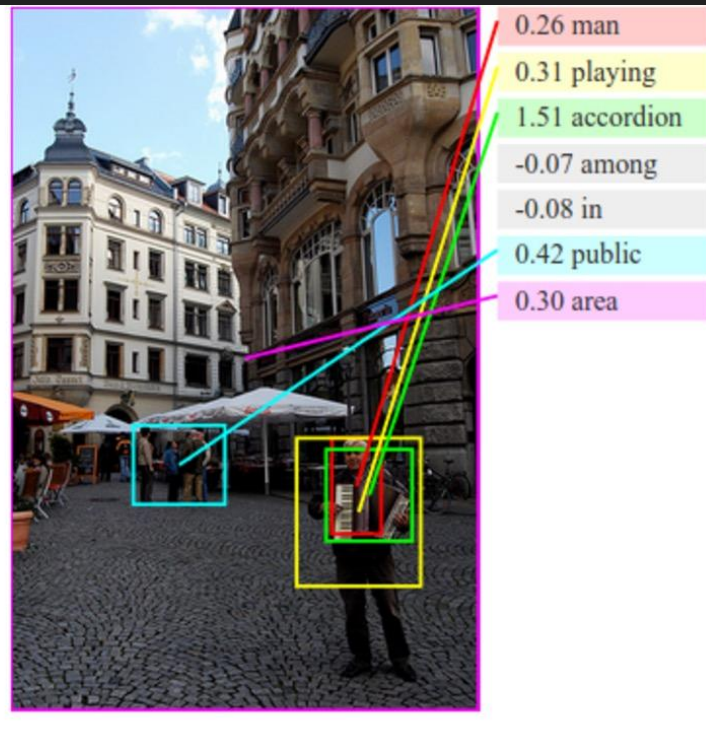
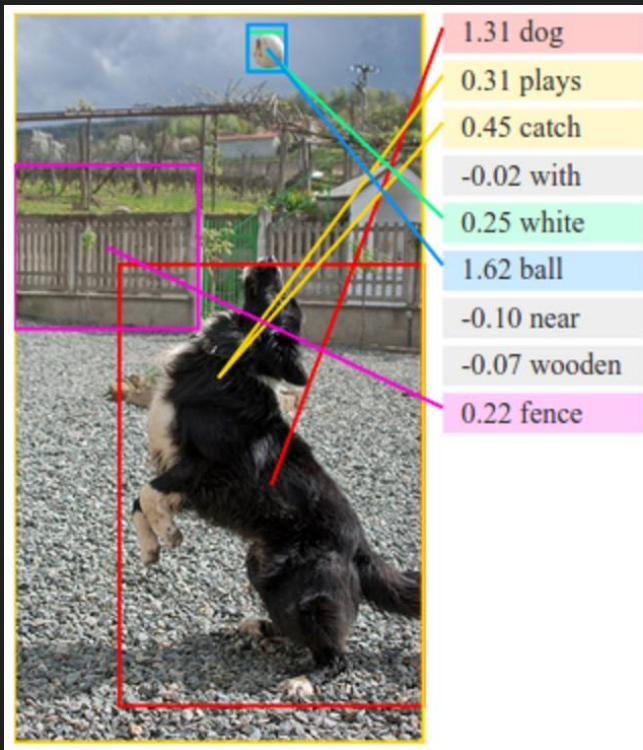
"black and white dog jumps over bar."



"young girl in pink shirt is swinging on swing."



# Image Captioning





# RNNs in TensorFlow

# Cell Support (`tf.nn.rnn_cell`)

- `BasicRNNCell`: The most basic RNN cell.
- `RNNCell`: Abstract object representing an RNN cell.
- `BasicLSTMCell`: Basic LSTM recurrent network cell.
- `LSTMCell`: LSTM recurrent network cell.
- `GRUCell`: Gated Recurrent Unit cell

# Construct Cells (`tf.nn.rnn_cell`)

```
cell = tf.nn.rnn_cell.GRUCell(hidden_size)
```

# Stack multiple cells

```
layers = [tf.nn.rnn_cell.GRUCell(size) for size in hidden_sizes]  
cells = tf.nn.rnn_cell.MultiRNNCell(layers)
```

# Construct Recurrent Neural Network

- `tf.nn.dynamic_rnn`: uses a `tf.While` loop to dynamically construct the graph when it is executed. Graph creation is faster and you can feed batches of variable size.
- `tf.nn.bidirectional_dynamic_rnn`: `dynamic_rnn` with `bidirectional`

# Stack multiple cells

```
layers = [tf.nn.rnn_cell.GRUCell(size) for size in hidden_sizes]
cells = tf.nn.rnn_cell.MultiRNNCell(layers)
output, out_state = tf.nn.dynamic_rnn(cell, seq, length, initial_state)
```

Any problem with this?

# Stack multiple cells

```
layers = [tf.nn.rnn_cell.GRUCell(size) for size in hidden_sizes]
cells = tf.nn.rnn_cell.MultiRNNCell(layers)
output, out_state = tf.nn.dynamic_rnn(cell, seq, length, initial_state)
```

Most sequences are not of the same length



# Dealing with variable sequence length

Pad all sequences with zero vectors and all labels with zero label (to make them of the same length)

Most current models can't deal with sequences of length larger than 120 tokens, so there is usually a fixed `max_length` and we truncate the sequences to that `max_length`

# Dealing with variable sequence length

Pad all sequences with zero vectors and all labels with zero label (to make them of the same length)

Most current models can't deal with sequences of length larger than 120 tokens, so there is usually a fixed `max_length` and we truncate the sequences to that `max_length`

Problem?

# Padded/truncated sequence length

The padded labels change the total loss, which affects the gradients

# Padded/truncated sequence length

Approach 1:

- Maintain a mask (True for real, False for padded tokens)
- Run your model on both the real/padded tokens (model will predict labels for the padded tokens as well)
- Only take into account the loss caused by the real elements

```
full_loss = tf.nn.softmax_cross_entropy_with_logits(preds, labels)
loss = tf.reduce_mean(tf.boolean_mask(full_loss, mask))
```

# Padded/truncated sequence length

Approach 2:

- Let your model know the real sequence length so it only predict the labels for the real tokens

```
cell = tf.nn.rnn_cell.GRUCell(hidden_size)
rnn_cells = tf.nn.rnn_cell.MultiRNNCell([cell] * num_layers)
tf.reduce_sum(tf.reduce_max(tf.sign(seq), 2), 1)
output, out_state = tf.nn.dynamic_rnn(cell, seq, length, initial_state)
```



# Tips and Tricks

# Vanishing Gradients

Use different activation units:

- `tf.nn.relu`
- `tf.nn.relu6`
- `tf.nn.crelu`
- `tf.nn.elu`

In addition to:

- `tf.nn.softplus`
- `tf.nn.softsign`
- `tf.nn.bias_add`
- `tf.sigmoid`
- `tf.tanh`

# Exploding Gradients

Clip gradients with `tf.clip_by_global_norm`

```
gradients = tf.gradients(cost, tf.trainable_variables())
```

```
clipped_gradients, _ = tf.clip_by_global_norm(gradients, max_grad_norm)
```

```
optimizer = tf.train.AdamOptimizer(learning_rate)  
train_op = optimizer.apply_gradients(zip(gradients, trainables))
```



# Exploding Gradients

Clip gradients with `tf.clip_by_global_norm`

```
gradients = tf.gradients(cost, tf.trainable_variables())  
# take gradients of cost w.r.t. all trainable variables  
  
clipped_gradients, _ = tf.clip_by_global_norm(gradients, max_grad_norm)  
  
optimizer = tf.train.AdamOptimizer(learning_rate)  
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# Exploding Gradients

Clip gradients with `tf.clip_by_global_norm`

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gradients = tf.gradients(cost, tf.trainable_variables())  
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```

```
clipped_gradients, _ = tf.clip_by_global_norm(gradients, max_grad_norm)  
# clip the gradients by a pre-defined max norm
```

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optimizer = tf.train.AdamOptimizer(learning_rate)  
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# Exploding Gradients

Clip gradients with `tf.clip_by_global_norm`

```
gradients = tf.gradients(cost, tf.trainable_variables())  
# take gradients of cost w.r.t. all trainable variables  
  
clipped_gradients, _ = tf.clip_by_global_norm(gradients, max_grad_norm)  
# clip the gradients by a pre-defined max norm  
  
optimizer = tf.train.AdamOptimizer(learning_rate)  
train_op = optimizer.apply_gradients(zip(gradients, trainables))  
# add the clipped gradients to the optimizer
```

# Anneal the learning rate

Optimizers accept both scalars and tensors as learning rate

```
learning_rate = tf.train.exponential_decay(init_lr,  
                                          global_step,  
                                          decay_steps,  
                                          decay_rate,  
                                          staircase=True)  
optimizer = tf.train.AdamOptimizer(learning_rate)
```

# Overfitting

Use dropout through `tf.nn.dropout` or `DropoutWrapper` for cells

- `tf.nn.dropout`

```
hidden_layer = tf.nn.dropout(hidden_layer, keep_prob)
```

- `DropoutWrapper`

```
cell = tf.nn.rnn_cell.GRUCell(hidden_size)
cell = tf.nn.rnn_cell.DropoutWrapper(cell,
                                     output_keep_prob=keep_prob)
```



# Language Modeling

# Neural Language Modeling

- Allows us to measure how likely a sentence is
- Important input for Machine Translation (since high-probability sentences are typically correct)
- Can generate new text

# Language Modeling: Main approaches

- Word-level: n-grams
- Character-level
- Subword-level: somewhere in between the two above



# Language Modeling: N-grams

- The traditional approach up until very recently
- Train a model to predict the next word based on previous n-grams

What can be the problems?

# Language Modeling: N-grams

- The traditional approach up until very recently
- Train a model to predict the next word based on previous n-grams
- Huge vocabulary
- Can't generalize to OOV (out of vocabulary)
- Requires a lot of memory

# Language Modeling: Character-level

- Introduced in the early 2010s
- Both input and output are characters

Pros and cons?

# Language Modeling: Character-level

- Introduced in the early 2010s
- Both input and output are characters

## **Pros:**

- Very small vocabulary
- Doesn't require word embeddings
- Faster to train

## **Cons:**

- Low fluency (many words can be gibberish)

# Language Modeling: Hybrid

- Word-level by default, switching to character-level for unknown tokens

# Language Modeling: Subword-Level

- Input and output are subwords
- Keep  $W$  most frequent words
- Keep  $S$  most frequent syllables
- Split the rest into characters
- Seem to perform better than both word-level and character-level models\*

new company dreamworks interactive

new company dre+ am+ wo+ rks: in+ te+ ra+ cti+ ve:

# **Demo:** **Char-RNN Language Modeling**

# Presidential Tweet Bot

Dataset: 19,469 Donald Trump's tweets

I guess @edshow is a lot smarter than dopes like @JonahNRO & @stephenhayes. Oh well both mags are dying anyway. \_\_HTTP\_\_ \_E\_

Received a standing applause at #NCGOPcon when I said to have free trade be fair for the US we need really intelligent negotiators. \_E\_

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The police in London say I'm right. Major article in Daily Mail. "We can't wear uniform in our own cars." \_\_HTTP\_\_ \_E\_

#MakeAmericaGreatAgain #Trump2016 \_\_HTTP\_\_ \_\_HTTP\_\_ \_E\_

When will @BarackObama release his transcripts? What is he hiding? \_E\_



# Presidential Tweet Bot

Evaluation: no foolproof method

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# GitHub

`data/trump_tweets.txt`  
`examples/11_char_nn.py`

# Next class

Machine Translation

Feedback: [huyenn@stanford.edu](mailto:huyenn@stanford.edu)

Thanks!